


HR  (Comment on the Revision

STAT

[redacted]  
NFAC/CAR  
7E48 HQS

22 JAN 1979

STAT

Re: Proposed Revision to HR [redacted]

STAT

Some general points:

- Is this?*
- a. If there is a Presidential or NSC instruction to the Agency to report to State, this should be cited.
  - b. If such a regulation is needed, then it should be essentially a procedural one. Why not simply state that significant external research projects that would appear to have sensitive foreign affairs implications will be coordinated with the State Department, if this is the Agency's policy. Leave the judgment as to what projects should require coordination with, or notification of, State with the Deputy Directors and not try to define the standards of reporting. Let's expect the Deputy Directors to exercise good judgment and sufficient control over their projects to carry out the intent of such a policy. The CAR could be designated as the point of coordination with State.
  - c. Perhaps, a simple, one or two paragraph HR would suffice.

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# ROUTING AND RECORD SHEET

SUBJECT: (Optional) Background Material for HR 51-12					06C 78-8439	
FROM: [ ] Coordinator for Academic Relations and External Analytical Support, NFAC			EXTENSION [ ]		NO. DATE 21 December 1978	
TO: (Officer designation, room number, and building)		DATE RECEIVED      FORWARDED		OFFICER'S INITIALS		COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)
1. [ ]		21 Dec 78		[ ]		Enclosed is the background material for HR [ ] as well as an extra copy of the tabled revision of HR [ ]. Please address your written comments or major revisions to tabled draft to [ ] 7E48 Hqqs. Building [ ] by 10 January 1979.
2. [ ]		[ ]		[ ]		
3. [ ]		[ ]		[ ]		
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15. [ ]		[ ]		[ ]		

FORM 3-52

610 USE PREVIOUS EDITIONS



SECRET



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INTERNAL USE ONLY



UNCLASSIFIED

-6-

to which projects require DSRC clearance, and submitting appropriate project information to the DSRC for review before a contract is signed or grant awarded.<sup>5/</sup>

B. Timely Reporting on All Projects

To maintain a current inventory of Government-sponsored research projects, information on each project, whether or not previously reviewed by the DSRC, should be reported to XR's Research Services staff (RS) within 10 working days after the awarding of a contract or grant, or as soon thereafter as possible.

1. Classified project information should be submitted on Foreign Affairs Research Summary form DS-1728, or comparable agency form.

2. Unclassified project information should be submitted on the Smithsonian Science Information Exchange Project Form, or comparable agency form.

3. Variations on the above reporting procedures will be worked out with individual agencies as necessary.

C. Submission of Completed Studies

Agency representatives should ensure that 3 copies of all studies and reports (classified or unclassified) delivered to the agency as products of its external research projects (whether reviewed by the DSRC or not) are sent to INR/XR/RS for inclusion in the Foreign Affairs Research Documentation Center for lending to qualified requesters from other Government agencies.

D. Other

Agency representatives should supply annual funding information on their agencies' programs, serve as facilitators of cooperative projects, and otherwise be available for consultation on matters of interagency coordination.

5/ This is not required in those cases where agencies use other established procedures to clear their research projects with a policy bureau in the Department of State (e.g., PL-480 programs) or with a U.S. Embassy (e.g., A.I.D. projects initiated and funded by field missions). However, the coordination representatives should inform the Chairman of the DSRC by memoranda of the clearance arrangements being used by their agencies in such cases.

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11 January 1979

MEMORANDUM FOR: Coordinator for Academic Relations and  
External Analytical Support, NFAC

FROM : [redacted] 25X1  
Chief, NFAC Plans and Programs Staff

SUBJECT : Comments on Draft Proposal 25X1  
for Amended HR [redacted]

The NFAC Plans and Programs Staff has reviewed the draft proposal  
for the amended HR [redacted] and would like to offer the following comments: [redacted] 25X1

The mechanical procedures outlined in the proposed revision  
could be accommodated as an extension of those presently employed  
in NFAC for developing and monitoring external research projects.  
The additional requirement to review and categorize that portion of  
our research involving foreign travel or contact could be accom-  
modated with a moderate amount of additional effort at the time of  
contract formulation or proposal. There are, however, basic  
procedural problems apparent if the intent is to include research  
projects beyond those involving foreign travel/contact. [redacted] 25X1

*Good point*

NFAC has made an attempt to avoid duplication of research by  
taking cognizance of, and selectively participating in, DIA external  
research efforts. However, most of our needs are unique to this  
Agency. Thus, there seems little reason to provide details on all  
of our external research (except that small percent involving  
foreign travel/contact) for an outside review particularly as much  
of it involves sensitive sources and methods. We have a related  
concern over having to provide copies of all external research  
products for inclusion in the Foreign Affairs Research Documentation  
Center. These research products are often fragmentary and must be  
put in context of an overall solution to an intelligence problem  
to be useful. In addition, these reports are usually voluminous  
and are prepared in limited numbers of copies--a factor which would  
create a costly, time consuming reproduction effort and in the end  
result in a document file of little interest outside CIA. [redacted] 25X1

As now written, the proposed revision to HR [redacted] appears to 25X1  
have expanded the scope of the State Department review process

[redacted] 25X1

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No  
beyond the "social or behavioral" categories called for in the October 1969 version. A literal interpretation of the definition of research given in the revision would indicate that NFAC now would be required to submit the larger part of its external analysis program for State scrutiny--even though approximately ninety percent of this research is directed at military or scientific subjects and does not involve foreign travel or contact. For example, contracts dealing with Soviet warhead analysis are external research projects which, because they have foreign weapons technology applications, would have to be submitted for State approval--even though no foreign travel/contact is involved. This requirement to provide data on so many projects seems excessive and creates an additional administrative burden. [redacted]

25X1

As an alternative, complete lists of external research proposals could be provided to your office for reference purposes, but we believe that only that data involving foreign contact/travel should be passed to the Department of State for prior review. The NFAC offices would prepare the necessary forms on these projects for your submission to State. As to the forum for deciding which projects might require submission to the Department of State, the quarterly contract review meetings seem like the logical place. At these meetings office directors present external research proposals for approval. We will let you know when these sessions are to be held so that you may attend. [redacted]

25X1

25X1

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4 January 1979

MEMORANDUM FOR: Coordinator for Academic Relations, NFAC

SUBJECT : Comments on Tabled Revision of HR [REDACTED]

25X1

1. After an exhausting study of the ambiguous HR [REDACTED] redraft, I am somewhat confused with the intended purpose of this over-all project and the apparent direction it has taken. If the specific wording in this draft is accepted, the Agency will be strapped with one additional time-consuming rubber-stamp bureaucratic procedure. I am not against rigid controls, and I welcome a revision of HR [REDACTED] I feel, however, that any revision to HR [REDACTED] should be extremely concise and not a rambling (not so all) inclusive nightmare. On the surface it appears that another empire is being built which would serve no functional purpose. As I understand the guidelines, this was not the intent of this exercise.

25X1

25X1

25X1

2. I have reason to believe that specific contract review mechanisms currently exist within each directorate. The coordinator should become extremely familiar with these existing systems (i.e. quarterly reviews, etc...) and perhaps be represented at each session. At this time it could be determined which contracts (in the best judgment of both parties) are candidates to be reported under the guidelines of an updated HR [REDACTED]

25X1

3. At least one major revision is absolutely necessary in the text of your current draft. The message contained in the definition of external research projects on foreign affairs (State Department interpretation that weapons technologies may be included) comes through loud and clear. This statement as it stands includes all of NFAC and specifically OWI contracts.

4. This leaves me with a feeling of anxiety. Perhaps there is good reason to include our weapons technology type contracts. However, on the surface I do not see it nor understand the rationale - if any exists. To have our weapons technology contracts approved by the Department of State would be an additional meaningless "in-basket" step which would cost us the already border-line time continuity that now exists because of the numerous reviews already in existence. This is not to mention the fact that this could place the Department of State in a potential position of directing our over-all mission for political purposes.

SUBJECT: Comments on Tabled Revision of HR

25X1

5. I have attached a copy of our office mission and functions along with an established method for coordinating efforts within the intelligence community. As you will note, Department of State is an active member of this committee (WSSIC) and through it gleans a valuable insight into both the in-house and external research being conducted by all committee members.

6. I have noted my major area of concern along with several additional comments on a copy of the initial revision.

STAT

Executive Staff  
Office of Weapons Intelligence

Attachment: a/s



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## *Office of Weapons Intelligence*

### FUNCTIONS

Produce and disseminate Foreign Weapons and  
Space Technical Intelligence:

Reports

Briefings

Contributions to NIEs

Weapons Intelligence Summary (WIS)

Provide administrative support and CIA members to  
WSSIC and SORS

Participate in and provide members to TEAC  
and ROWG

Provide intelligence support to other agencies on  
SALT and NTA

Assist in developing collection priorities and tasking

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## ***Office of Weapons Intelligence***

### **MISSION**

**ANALYZE, EVALUATE AND REPORT TECHNICAL CHARACTERISTICS  
OF ALL FOREIGN WEAPONS AND SPACE SYSTEMS**

**MONITOR ALL FOREIGN MISSILE AND SPACE EVENTS ON A  
CURRENT BASIS**

**SUPPORT SALT**

**DEVELOP COLLECTION REQUIREMENTS**

**EVALUATE COLLECTION SYSTEMS**

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## **Weapon and Space Systems Intelligence Committee**

- MISSION**
- Develop coordinated approach to problems
  - Promote liaison
  - Provide community support to agencies

### **FUNCTIONS**

- Recommend objectives
- Establish priorities
- Review effectiveness of collection & production efforts
- Determine deficiencies and recommend solutions
- Insure exchange of data
- Produce coordinated intelligence

<b>Chairman - CIA</b>	
<b>Vice/Chairman - DIA</b>	
<b>Members</b>	
<b>ARMY</b>	<b>CIA</b>
<b>NAVY</b>	<b>STATE</b>
<b>AIR FORCE</b>	<b>FBI</b>
<b>DIA</b>	<b>ERDA</b>
<b>NSA</b>	
<b>(NASA - Associate)</b>	

<b>Subcommittees</b>	
	<b>Chaired</b>
<b>Ground Weapon Systems</b>	<b>ARMY</b>
<b>Naval Weapon Systems</b>	<b>NAVY</b>
<b>Air Weapon Systems</b>	<b>AIR FORCE</b>
<b>Aerospace Defense Systems</b>	<b>CIA</b>
<b>Ballistic Missile Systems</b>	<b>DIA</b>
<b>Space Systems</b>	<b>CIA</b>

<b>Working Groups</b>	
	<b>Chaired</b>
<b>Aerodynamic Missile</b>	<b>DIA</b>
<b>Biological &amp; Chemical Warfare</b>	<b>CIA</b>
<b>Command, Control &amp; Communications</b>	<b>DIA</b>
<b>Electronics</b>	<b>NSA</b>

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